

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 09792909-5171

First Named Inventor or Application Identifier

Toshiharu Yanagida

Express Mail Label No: EL281568829US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 54
- ☒ Drawing(s) (35USC 113) Total Pages 12
3. ☒ Declaration and Power of Attorney Total Pages 2
- a. ☐ Unexecuted(original or copy)
- b. ☒ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)
- [Note Box 4 Below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
Inventor(s) named in the prior  
application see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)  
SONY CORPORATION
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,  
Statement(s) Status still proper and desired
12. ☒ Copy of cover page of Priority Japanese Patent  
Application Nos: P10-247393, filed 9/1/98 and P11-  
146942, filed May 26, 1999.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional Continuation-in-part (CIP) ☐ of prior application No: 09/385,959; August 30, 1999

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
TOTAL CLAIMS 20	6	0	\$18	\$0
INDEPENDENT CLAIMS 03	1	0	\$80	\$0
ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			TOTAL FEE	\$710

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$710.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Christopher P. Rauch  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Toshiharu Yanagida ATTORNEY DOCKET NO:  
09792909-5171

SERIAL NO. GROUP ART UNIT:

FILING DATE: EXAMINER:

INVENTION: "SEMICONDUCTOR APPARATUS AND PROCESS OF  
PRODUCTION THEREOF"

which is a Divisional of copending Application of the following U.S. Application

APPLICANT: Toshiharu Yanagida OLD ATTORNEY DOCKET NO:  
P99,1318

SERIAL NO: 09/385,959 NEW ATTORNEY DOCKET NO:  
FILING DATE August 30, 1999 09792909-4298

GROUP ART UNIT: 2812

EXAMINER: D. Graybill


INVENTION: "SEMICONDUCTOR APPARATUS AND PROCESS OF  
PRODUCTION THEREOF"

**EXPRESS MAIL CERTIFICATE OF MAILING**

Express Mail" Mailing Label Number EL281568829US  
Date of Deposit July 25, 2001

I hereby certify that the following items are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" Service Under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents and Trademarks, Box Patents, Washington, D.C. 20231:

1. Divisional Patent Application Transmittal
2. Filing Fee \$710
3. Specification (54 Pages)
4. Drawings (12 Sheets)
5. Preliminary Amendment
6. Copy of Declaration
7. Copy of Assignment
8. Copy of Recordation of Assignment
9. Copy of Cover Page of Japanese Priority Application Nos: P10-247393 & P11-146942
10. Postcard
11. Express Certificate of Mailing No: EL281568829US

  
Signature of Person Mailing Application

09915160-072501